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71 Applicant: **HITACHI, LTD.**  
6, Kanda Surugadal 4-chome  
Chiyoda-ku, Tokyo 101(JP)

72 Inventor: **Kobayashi, Setsuo**  
20-1, Mizukicho-2-chome  
Hitachi-shi(JP)  
Inventor: **Iwasaki, Kishiro**  
1288, Kamezakuchō  
Hitachiota-shi(JP)  
Inventor: **Nakamura, Mariko**  
195, Todehoncho-2-chome  
Saiwai-ku, Kawasaki-shi(JP)  
Inventor: **Sasaki, Hiroshi**  
1860-21, Toyooka, Tokaimura  
Naka-gun, Ibaraki-ken(JP)  
Inventor: **Ito, Yutaka**  
16, Yamatocho-1-chome  
Takahagi-shi(JP)

74 Representative: **Patentanwälte Beetz sen. -**  
**Beetz jun. Timpe - Siegfried -**  
**Schmitt-Fumian- Mayr**  
**Steinsdorfstrasse 10**  
**W-8000 München 22(DE)**

54 **Optical information recording medium, method and apparatus.**

57 The invention relates to an optical information recording medium comprising a substrate and an information recording layer formed thereon comprising at least two organic dyes having different chemical structures and having a continuous playback ability of  $10^5$  times or more at a playback laser power of 0.5 to 1.5 mW, which is excellent in readout light stability (durability) and reflectance, a method for producing the optical information recording medium, and a method and an apparatus for information playback, comprising this recording medium.

**EP 0 418 611 A2**

## OPTICAL INFORMATION RECORDING MEDIUM, METHOD AND APPARATUS

This invention relates to an optical information recording medium for recording and reading out information by means of a laser beam, a process for producing the same, a readout (or playback) apparatus for optical information recording media, and a process for reading out information from these optical information recording media.

5 Recently, as means for writing and reading out information in compact discs, video discs, photosensitive members for laser beam printers, optical letter readout machines, etc., optical recording media and apparatus applying a laser diode beam are practically used. For these applications an optical recording medium which absorbs a laser diode beam, that is, near infrared rays, is necessary. As the recording medium, there have been proposed recording media having an inorganic recording layer of tellurium, an alloy of tellurium, an alloy of bismuth, or the like, recording media having an organic dye-containing  
10 recording layer such as a phthalocyanine dye (US-A-4 298 995), a naphthalocyanine dye (JP-1-198391), etc.

However, optical recording media having an inorganic recording layer (inorganic recording media) have problems in that an improvement in the productivity is difficult due to the necessity of employing a process  
15 under vacuum such as vacuum deposition, sputtering, etc. for forming a thin film on a substrate, an improvement in the recording density is limited due to a high thermal conductivity of the recording layer, and tellurium, selenium, and the like are toxic substances requiring careful handling from the viewpoint of safety and health.

On the other hand, the recording media containing organic dyes (organic recording media) are inferior  
20 in properties such as durability and reflectance to the inorganic recording media. Further, the recording media containing organic dyes are insufficient in both durability and solubility of the dyes in a solvent. However, with such organic recording media it seems to be possible to overcome the problems of the inorganic recording media. Thus, organic recording media having the same durability as the inorganic recording media and which can be produced by spin coating or dip coating have been studied.

25 For example, there has been proposed an optical recording medium having an organic thin film of various derivatives of naphthalocyanines having Al, Ga, In, Te, Si, Ge, Sn, Pb, etc. as central metal (JP-A-1-198391). However, with such a recording medium, it is impossible to read out  $10^5$  times or more by means of a semiconductor laser beam having a playback readout power of 1 mW because of damaging the organic material.

30 Therefore, organic recording media are used in systems wherein a playback readout power of 0.5 mW or less is used in order to reduce the damage for the organic recording medium layer. However, when a playback laser beam power of 0.5 mW or less is used, there arise various problems in that outer noises are easily introduced, no interchangeability exists for the apparatus using a playback readout power of 1 mW and the like.

35 In order to improve the readout light stability of the organic optical recording media, there has been proposed the addition of a singlet oxygen quencher to a cyanine dye (JP-A-1-21798). Such a method is effective for preventing the cyanine dye from deterioration by light but not effective for the heat energy of a laser beam of 1 mW.

40 It is the object of the present invention to provide an optical information recording medium excellent in durability and reflectance of an information recording medium layer, which can be produced by spin coating, spray coating or dip coating an organic recording medium layer on a substrate, and to provide a process for producing such an optical information recording medium and the applications of such an optical information recording medium.

45 The above object is achieved according to the claims. The dependent claims relate to preferred embodiments.

The optical information recording medium of the present invention comprises a substrate and an information recording layer formed on the substrate, wherein the information recording layer comprises one or more organic dyes and has a continuous, and particularly a thermally stable playback or readout ability of  $10^5$  times or more at a playback or readout laser power of 0.5 to 1.5 mW.

50 According to a preferred embodiment, the optical information recording layer comprises at least two organic dyes having different chemical structure.

The method of the present invention for producing the optical information recording medium as defined above comprises the steps of

(A) dissolving one or more organic dyes in an organic solvent, preferably to give a solution having a dye concentration of 0.1 to 5 mass-%,

- (B) coating a substrate with the solution, preferably by spin coating, dip coating or spray coating, and  
 (C) removing the solvent.

The resulting recording layer preferably has a thickness of 10 to 200 nm.

5 The optical information playback or readout apparatus of the present invention comprises an optical information recording medium, an optical head equipped with a laser beam generator capable of reading out information from the optical information recording medium, rotating means for rotating the optical information recording medium, a drive circuit for controlling the operation of the optical head and the rotational speed of the rotative means, a processor for controlling the drive circuit, input means for inputting  
 10 information into the processor, and output means for outputting information from the processor; it is characterized by an optical information recording medium according to the present invention as defined above.

The apparatus preferable comprises a laser/laser beam generator emitting a laser beam having a wavelength of 640 to 720 nm or of 780 to 830 nm.

15 The method of the present invention for reading out information from an optical information recording medium comprising a substrate and an information recording layer formed on the substrate comprises irradiating a laser beam on the information recording layer of the optical information recording medium, and reading out the information recorded on or in the optical information recording medium/information recording layer on the basis of the optical modulation of reflected or transmitted light; it is characterized in  
 20 that an optical information recording medium of the present invention is used.

In the following, the invention will be further explained with reference to the drawings.

Fig. 1 is a graph showing the readout light stability when bis(tributylsiloxy)-germanium-tetra-(octyloxy)-naphthalocyanine and copper tetra-t-butyl-naphthalocyanine (mass ratio 5:4) are used as organic dyes.

25 Fig. 2 is a graph showing the readout light stability when bis(triethylsiloxy)-germanium-tetra-(octyloxy)-naphthalocyanine and copper tetra-t-butyl-naphthalocyanine (mass ratio 4:3) are used as organic dyes.

Fig. 3 is a graph showing the readout light stability when bis(tributylsiloxy)-germanium-tetra-(octyloxycarbonyl)-naphthalocyanine alone is used as organic dye.

Fig. 4 is a schematic block diagram showing the optical system of a recording and playback apparatus.

Fig. 5 shows a laser output wave-form of readout light.

30 Fig. 6 shows a laser output wave-form of readout light.

Fig. 7 shows transmittance and reflectance spectra of a bis(triphenylsiloxy)-silicon-phthalocyanine thin film.

Fig. 8 shows transmittance and reflectance spectra of a bis(phenoxy)-silicon-phthalocyanine thin film.

Fig. 9 shows transmittance and reflectance spectra of a vanadyl-tetra-t-butyl-naphthalocyanine thin film.

35 Fig. 10 comprises cross-sectional views of one example of the optical information recording medium of the present invention.

The optical information recording medium of the present invention is characterized by having one or more organic dyes in an information recording layer formed on a substrate, said organic dyes having a continuous playback ability of  $10^5$  times or more at a playback laser power of 0.5 to 1.5 mW.

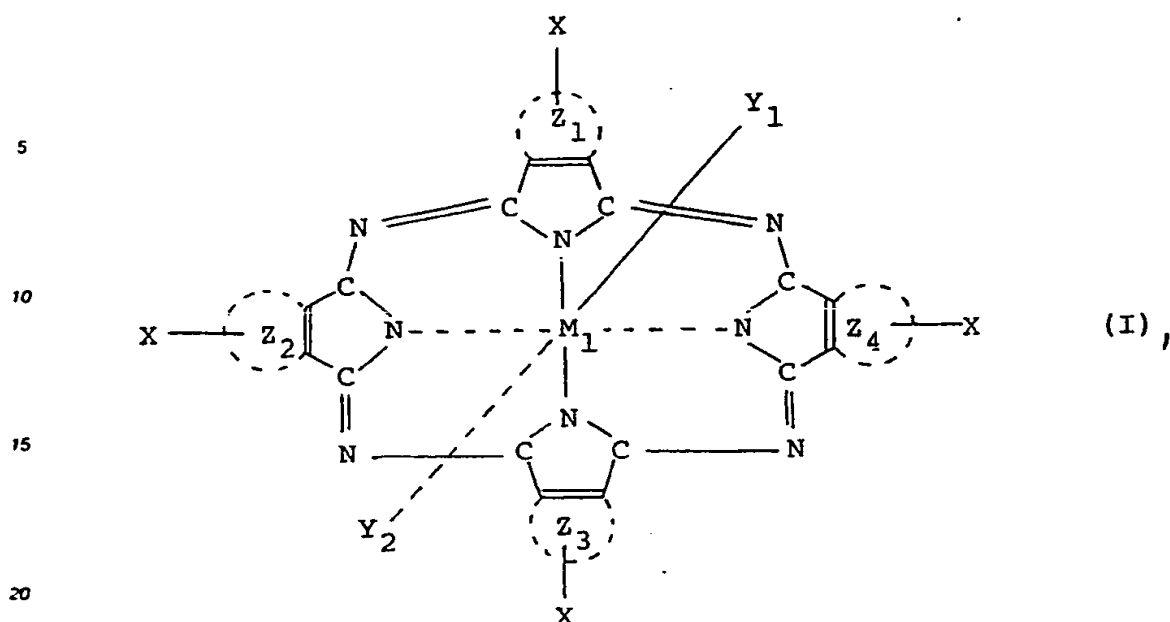
40 Further, said organic dyes preferably have at least two different chemical structures. The words "at least two different chemical structures" mean that at least one organic dye has a bulky (or top-like) structure wherein large side chains are projecting from the central atom, and at least one organic dye has a flat structure.

Thus, the optical information recording medium of the present invention is also characterized by having  
 45 two or more organic dyes having different chemical structures (i.e. a top-like structure and a flat structure) and a continuous readout ability of  $10^5$  times or more by a readout laser power of 0.5 to 1.5 mW.

Such organic dyes are preferably a combination of (i) an organic dye having the top-like structure and a pyrolysis beginning temperature (P.B.T.) of  $300^\circ\text{C}$  or lower and being selected from derivatives of azaphthalocyanine, phthalocyanine and naphthalocyanine, and (ii) a thermal quencher having the flat  
 50 structure and a pyrolysis beginning temperature (P.B.T.) of  $350^\circ\text{C}$  or higher and a function for preventing thermal deterioration.

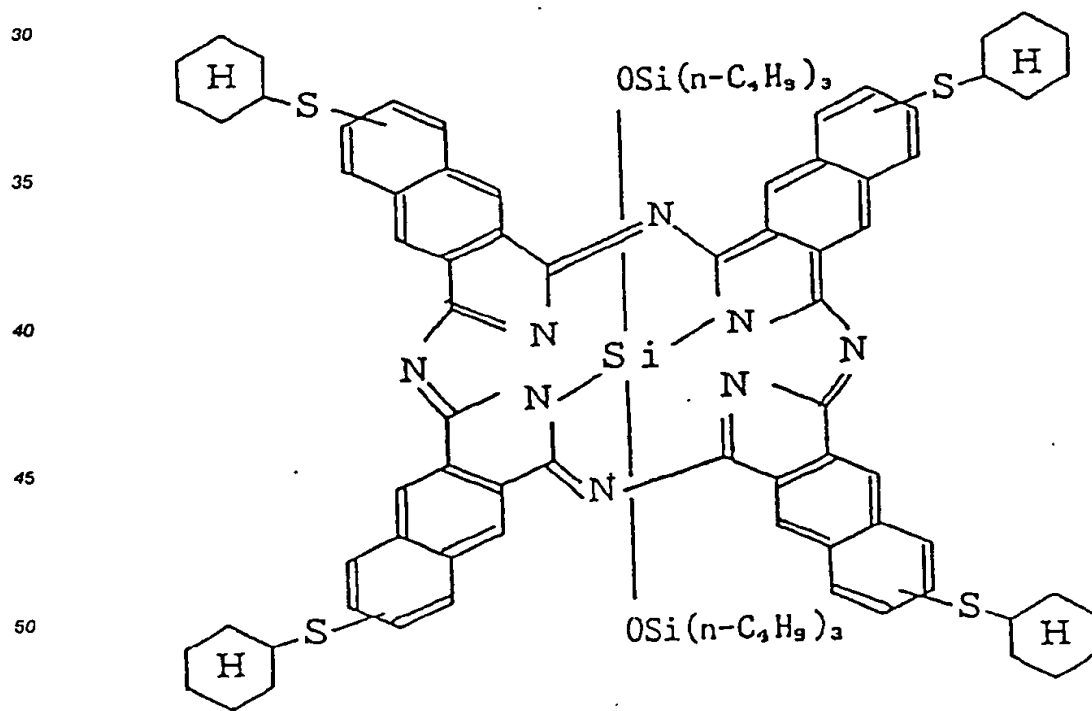
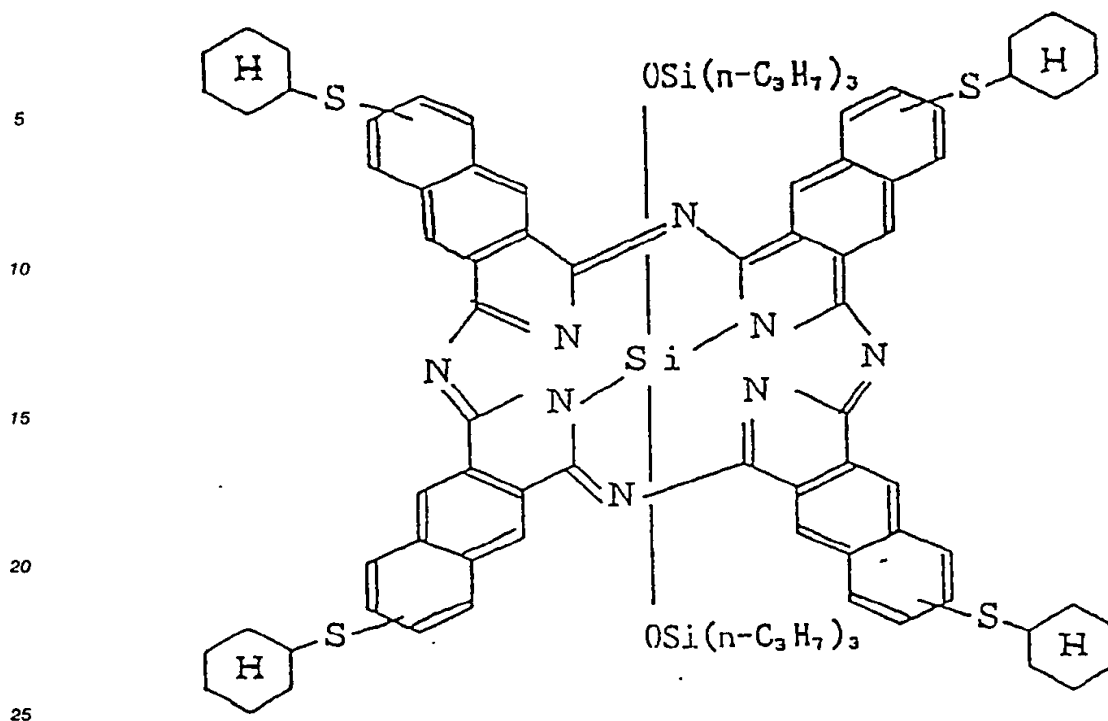
The pyrolysis beginning temperature can be measured by using, for example, a differential scanning thermobalance (e.g., TAS-100, manufactured by Rigaku Kabushiki Kaisha; JP).

The organic dye having a pyrolysis beginning temperature of  $300^\circ\text{C}$  or lower and being selected from  
 55 derivatives of azaphthalocyanine, phthalocyanine and naphthalocyanine can be represented by the formula I,

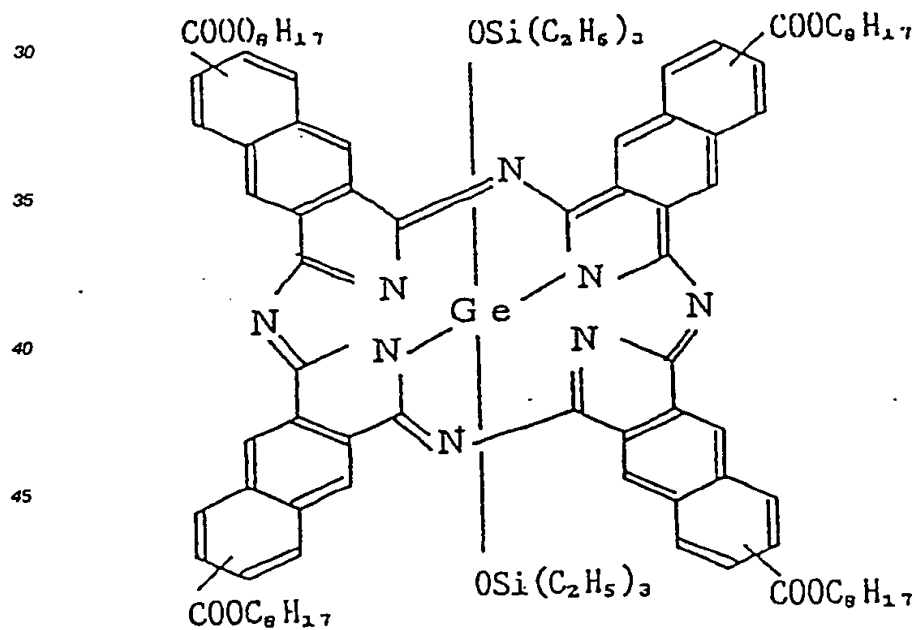
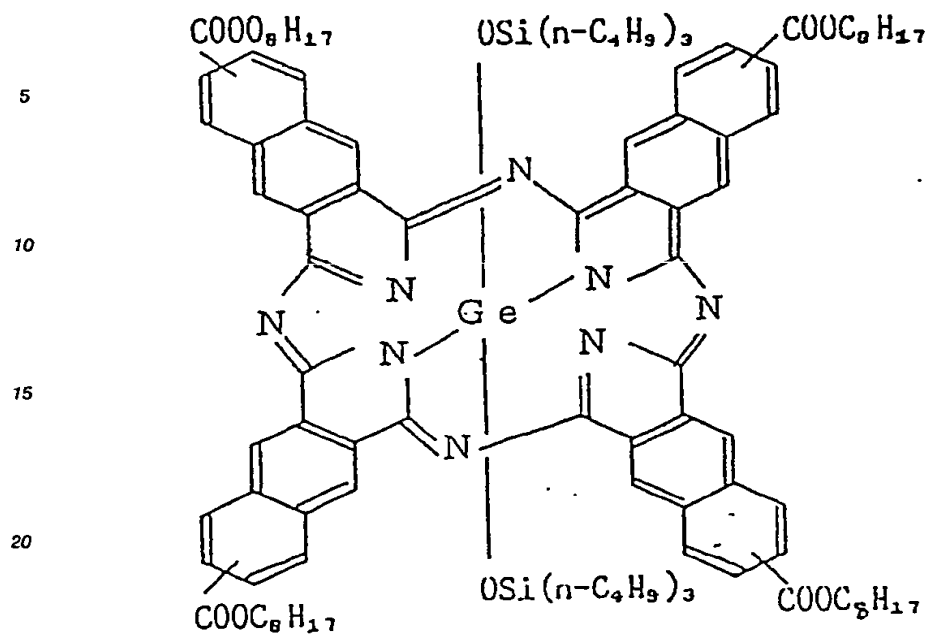


wherein  $M_1$  is a metal atom selected from Si, Ge and Sn belonging to the group IV of the periodic table;  $Z_1$ ,  $Z_2$ ,  $Z_3$  and  $Z_4$  are independently a pyridine ring, a benzene ring or a naphthalene ring non-substituted or having one or more monovalent substituents -X, where X is an alkyl group preferably having 1 to 20 carbon atoms, an alkenyl group preferably having 1 to 20 carbons, an alkylthio group preferably having 1 to 20 carbon atoms, a phenyl group, an acyl group preferably having 2 to 20 carbon atoms or a tri-substituted silyl group;  $Y_1$  and  $Y_2$  are independently -Ar, -OR, -OAR, -OSi(R)<sub>3</sub>, -OSi(OR)<sub>3</sub>, -OSi(OAr)<sub>3</sub> or -OC(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>, where R is a straight- or branched chain alkyl group preferably having 1 to 20 carbon atoms; and Ar is a phenyl group, a substituted phenyl group, a benzyl group or a substituted benzyl group.

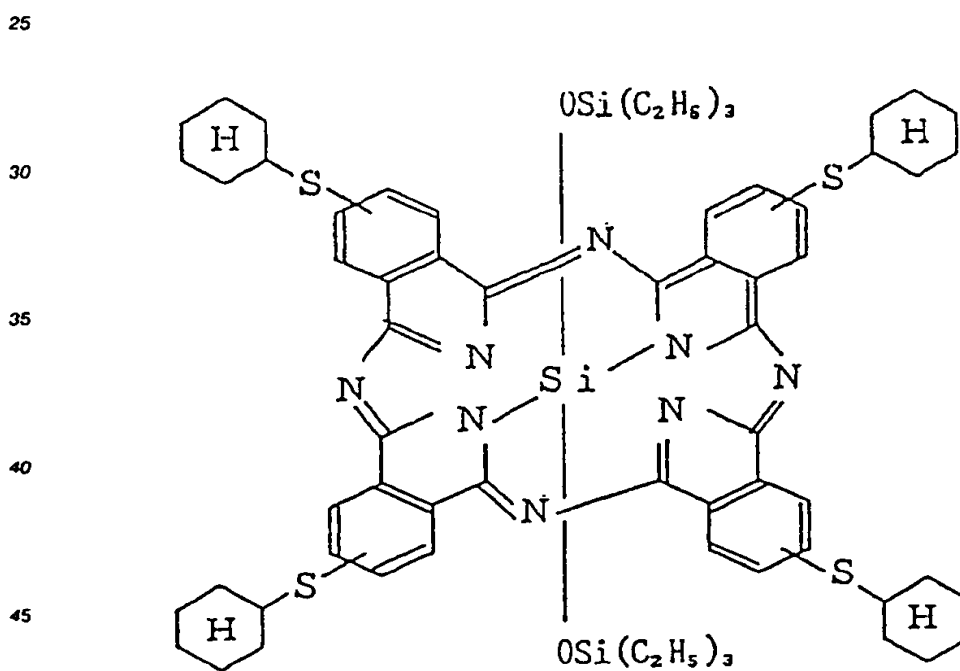
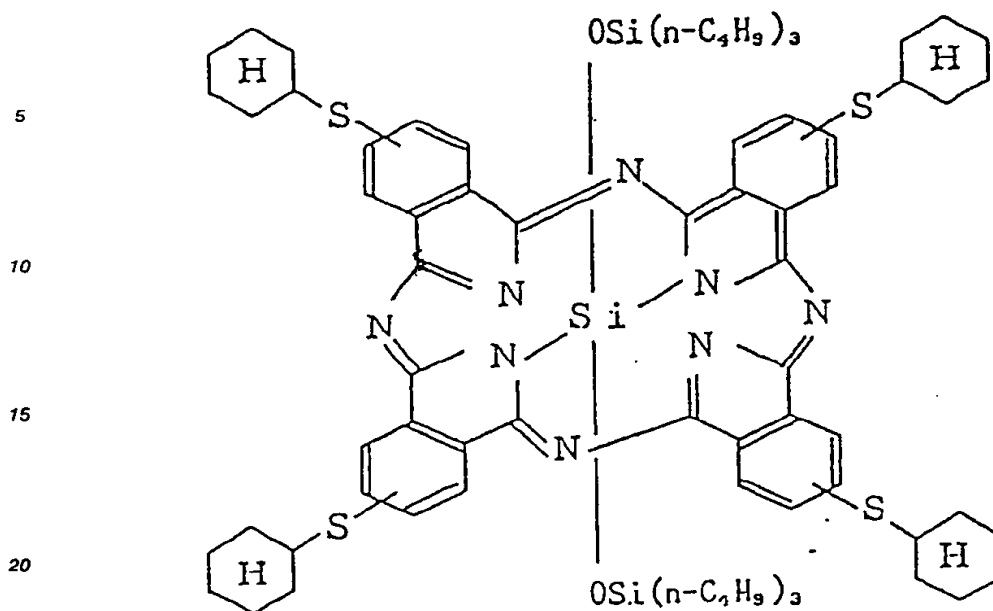
Preferred examples of compounds of formula (I) are:



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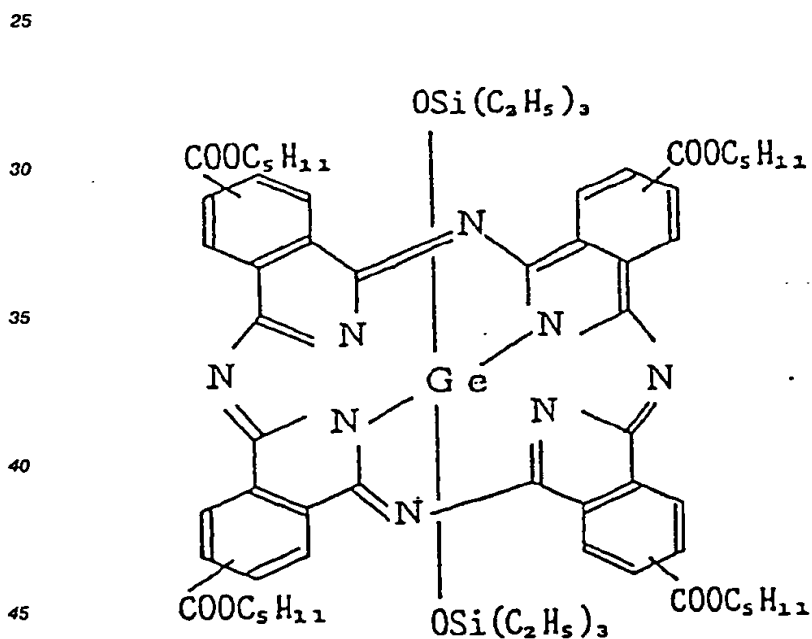
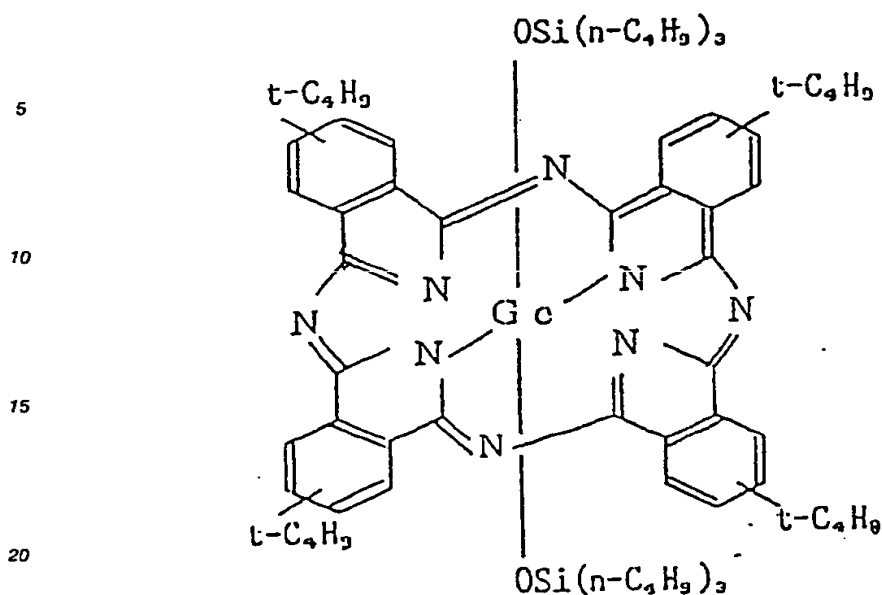






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Bis(tributylsiloxy)-germanium-tetra-(octyloxycarbonyl)-naphthalocyanine P.B.T. 203 °C

Bis(tributylsiloxy)-silicon-tetra-(cyclohexylthio)-naphthalocyanine P.B.T. 298 °C

50 Bis(tripropylsiloxy)-silicon-tetra-(cyclohexylthio)-naphthalocyanine P.B.T. 290 °C

Bis(tributylsiloxy)-silicon-tetra-(n-butylthio)-naphthalocyanine P.B.T. 243 °C

Bis(triethylsiloxy)-germanium-tetra-t-butyl-phthalocyanine P.B.T. 272 °C

Bis(tributylsiloxy)-germanium-tetra-t-butyl-phthalocyanine P.B.T. 253 °C

Bis(triphenylsiloxy)-silicon-phthalocyanine P.B.T. 292 °C

55 Bis(phenoxy)-silicon-phthalocyanine P.B.T. 281 °C.

The content of the azaphthalocyanine derivatives, phthalocyanine derivatives and naphthalocyanine derivatives in the information recording layer is a sufficient amount for making the reflectance of the information recording layer 20 % or more.

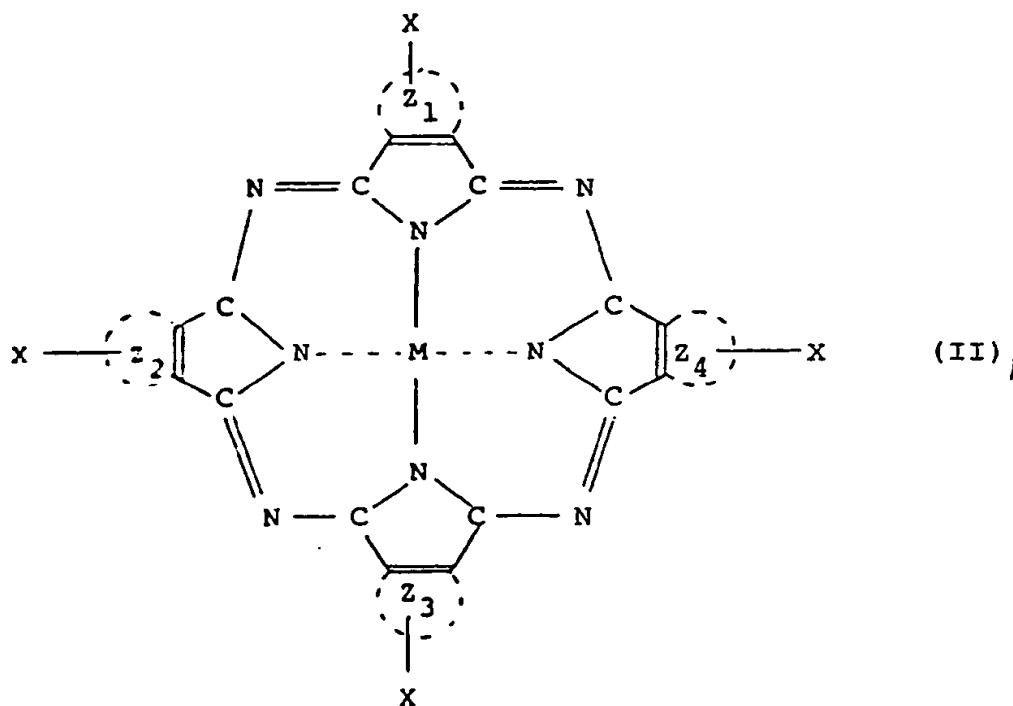
In order to improve the durability, it has been found that the following thermal quenchers (a) to (e) are effective for relaxing damages caused by the laser beam on the recording layer formed by using an azaphthalocyanine, a phthalocyanine or a naphthalocyanine of the formula (I) alone:

- (a) Crystalline dyes having a pyrolysis beginning temperature of 350 °C or higher.
- (b) Thermal quenchers having a thermal deterioration preventing effect for azaphthalocyanine, phthalocyanine and naphthalocyanine derivatives of the formula (I).
- (c) Dyes having a relaxation process for molecular excited states of azaphthalocyanine, phthalocyanine and naphthalocyanine derivatives of the formula (I).
- (d) Dyes having a large heat capacity.
- (e) Dyes having a high heat conductivity.

By the co-use of an azaphthalocyanine, a phthalocyanine or a naphthalocyanine of the formula (I) and a thermal quencher of (a) to (e) mentioned above, a continuous playback (readout) ability of 10<sup>5</sup> times or more can be attained at a playback laser power of 0.5 to 1.5 mW.

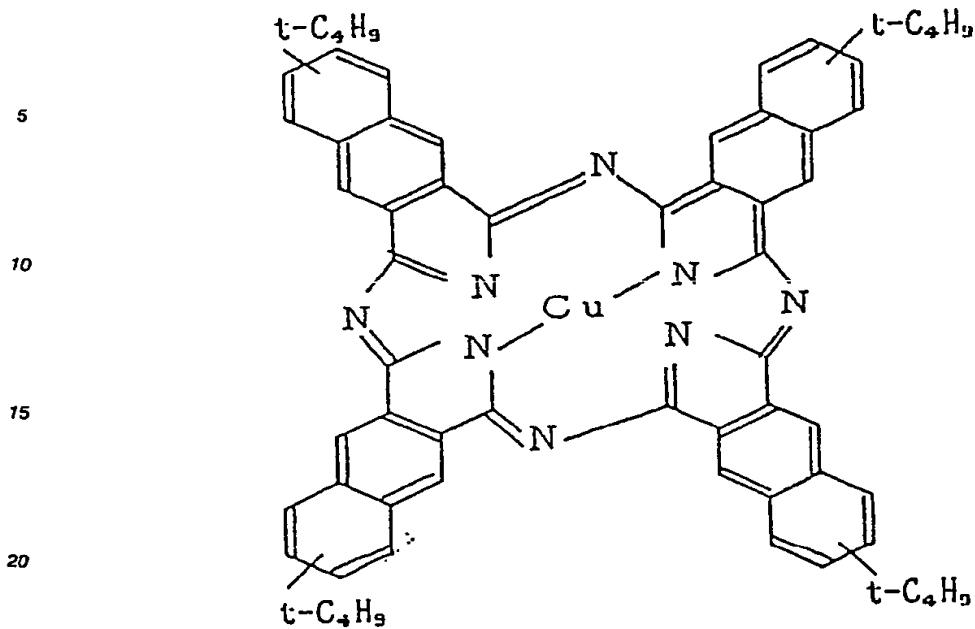
The thermal quencher can also relax a molecular excited state of the azaphthalocyanine derivatives, phthalocyanine derivatives and naphthalocyanine derivatives.

The thermal quencher having a pyrolysis beginning temperature of 350 °C or higher and a function for preventing thermal deterioration is a crystalline dye represented by the formula II,



wherein M is a transition metal, and Z<sub>1</sub>, Z<sub>2</sub>, Z<sub>3</sub>, Z<sub>4</sub> and X are as defined above.

Preferred examples of compounds of formula (II) are:



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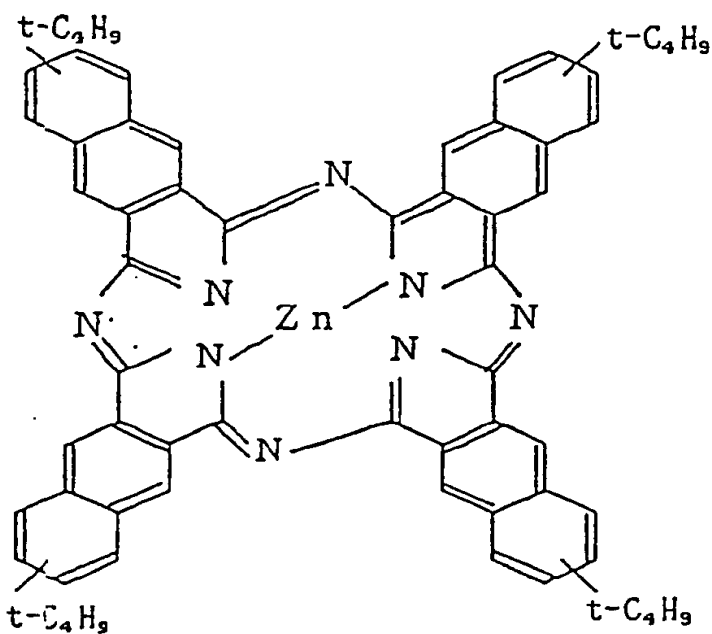
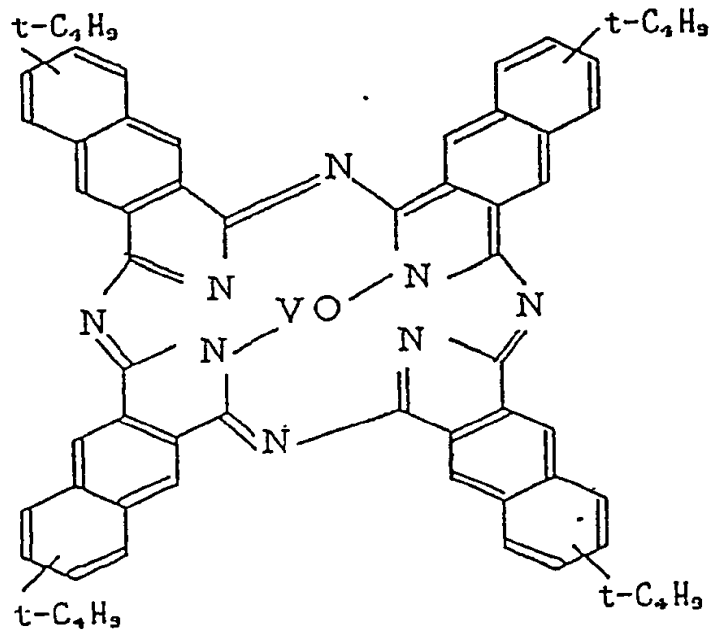
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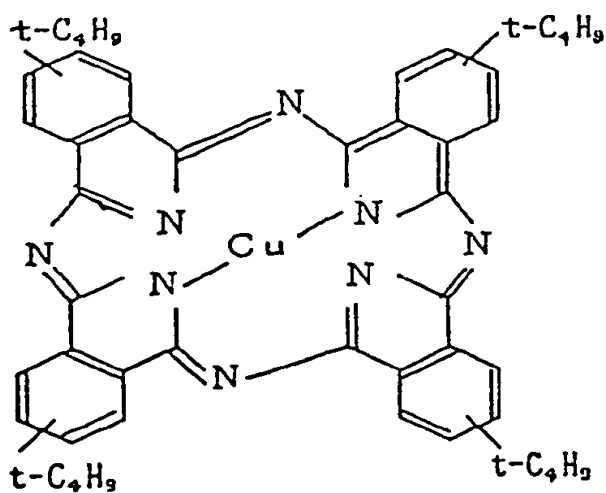
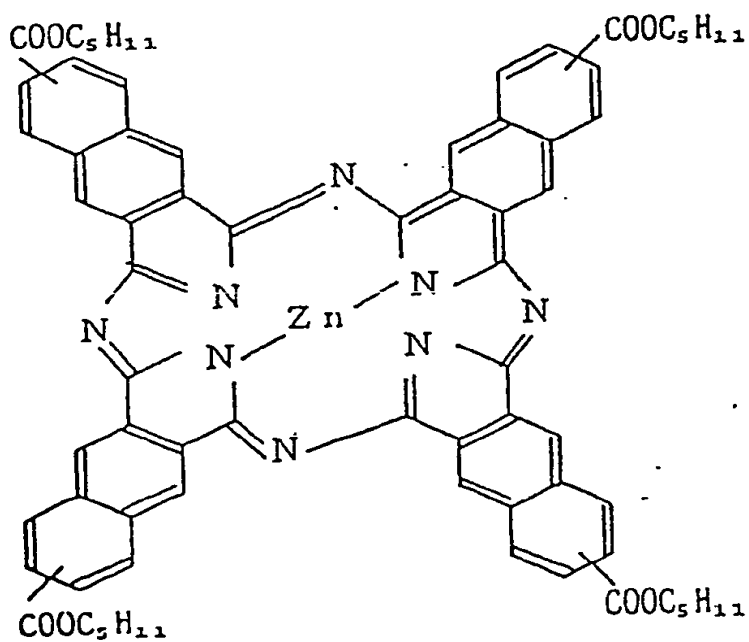
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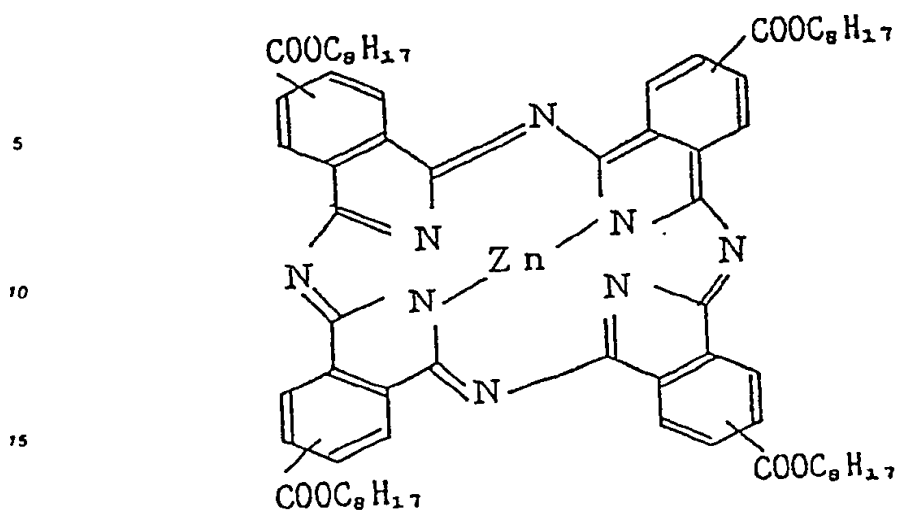
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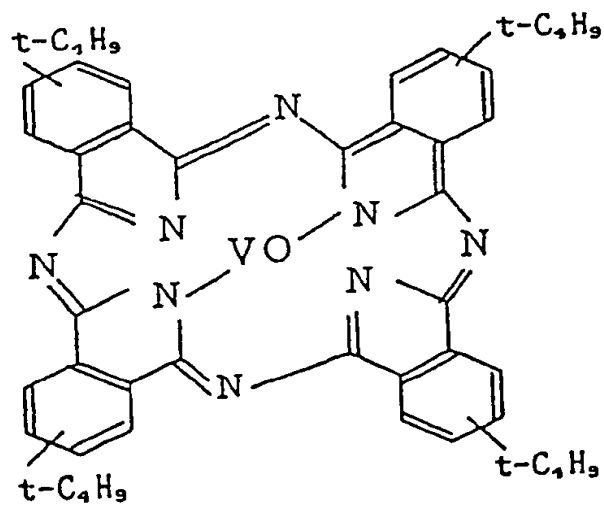
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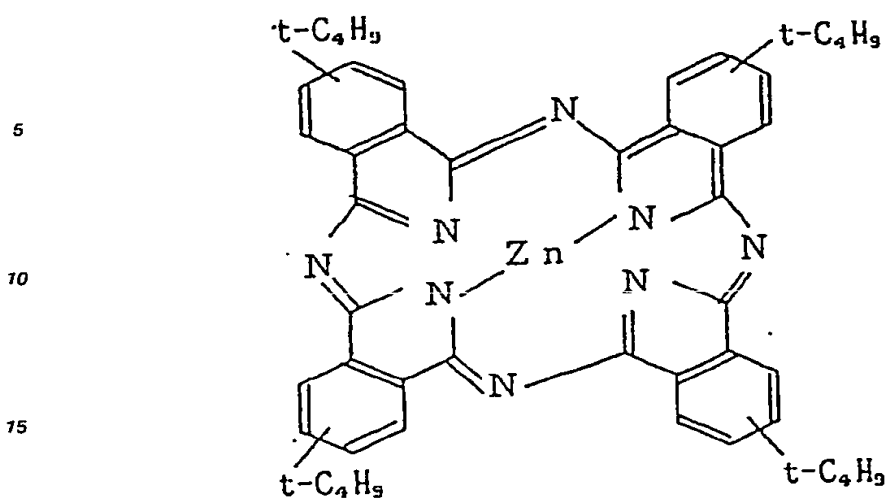
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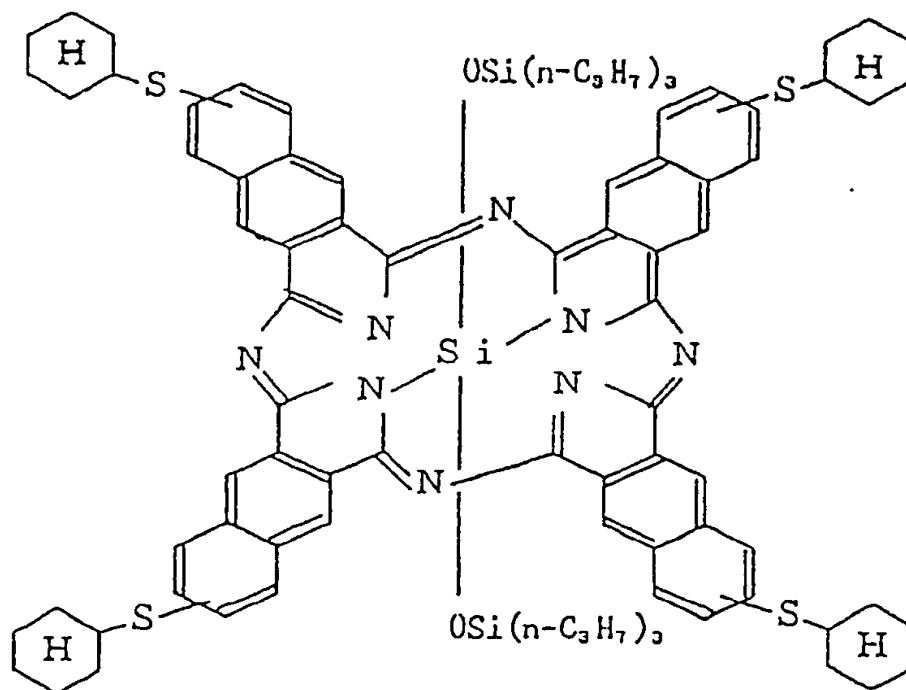


- Vanadyl tetra-t-butylphthalocyanine P.B.T. 372 °C
- Copper tetra-t-butylphthalocyanine P.B.T. 364 °C
- Zinc tetra-t-butylphthalocyanine P.B.T. 380 °C
- Palladium tetra-t-butylphthalocyanine P.B.T. 365 °C
- Palladium tetra-t-butylphthalocyanine P.B.T. 370 °C
- Copper tetra-t-butylphthalocyanine P.B.T. 386 °C
- Zinc tetra-t-butylphthalocyanine P.B.T. 369 °C

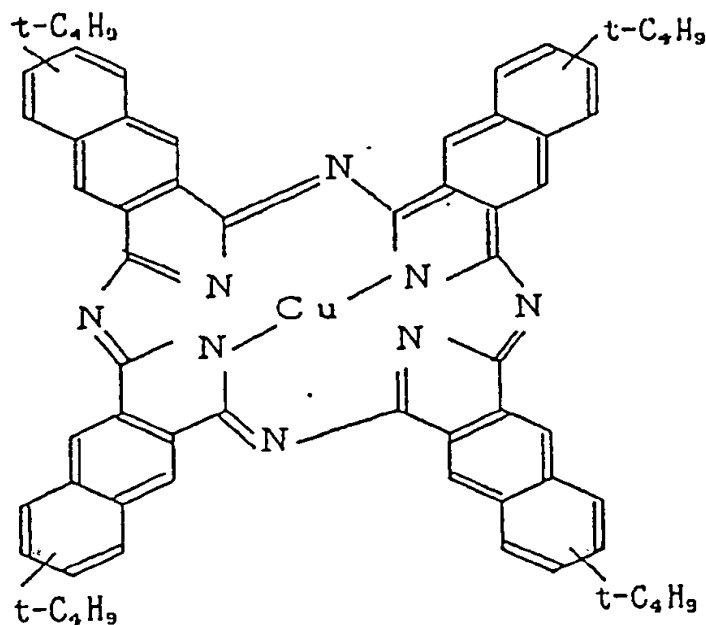
In order to achieve an excellent readout light stability (durability), reflectance and solubility in a solvent, the following combinations, for example, are preferred:

A combination of





and



55 A combination of bis(tributylsiloxy)-germanium-tetra-(octyloxycarbonyl)-naphthalocyanine and copper tetra-t-butynaphthalocyanine.

A combination of bis(triethylsiloxy)-germanium-tetra-(octyloxycarbonyl)-naphthalocyanine and copper tetra-t-butynaphthalocyanine.

A combination of bis(tributyloxy)-silicon-tetra-(cyclohexylthio)-naphthalocyanine and zinc t-butyl-naphthalocyanine.

A combination of bis(tripropoxy)-silicon-tetra-(cyclohexylthio)-naphthalocyanine and vanadyl tetra-t-butyl-naphthalocyanine.

5 A combination of bis(tripropoxy)-silicon-tetra-(cyclohexylthio)-naphthalocyanine and lead tetra-t-butyl-naphthalocyanine.

A combination of bis(tributylsiloxy)-silicon-tetra-(n-butylthio)-naphthalocyanine and palladium tetra-t-butyl-naphthalocyanine.

10 A combination of bis(tributylsiloxy)-germanium-tetra-(t-butyl)-phthalocyanine and palladium tetra-t-butyl-phthalocyanine.

A combination of bis(triethylsiloxy)-germanium-tetra-t-butyl-phthalocyanine and copper tetra-t-butyl-phthalocyanine.

A combination of bis(triethylsiloxy)-germanium-tetra-t-butyl-phthalocyanine and zinc tetra-t-butyl-phthalocyanine.

15 By the combination of two or more organic dyes having different chemical structures, preferably by the combination of (i) an organic dye having a pyrolysis beginning temperature of 300 °C or lower and selected from derivatives of azaphthalocyanine, phthalocyanine and naphthalocyanine of the formula (I) and (ii) a thermal quencher having a pyrolysis beginning temperature of 350 °C or higher, a readout of 10<sup>5</sup> times or more can be attained at a playback readout power of 0.5 to 1.5 mW.

20 The organic dye having a pyrolysis beginning temperature of 300 °C or lower and selected from derivatives of azaphthalocyanine, phthalocyanine and naphthalocyanine of the formula (I) and the thermal quencher having a pyrolysis beginning temperature of 350 °C or higher are preferably mixed in a range of a mass ratio of the former to the latter of 1/0.01 to 1/1.5. Further, a mixing ratio so as to make the reflectance of the information recording layer 20 % or more is preferable.

25 As the material for forming the substrate, there can be used thermoplastic resins and thermosetting resins such as polyvinyl chloride resins, acrylic resins, polyolefin resins, polycarbonate resins, polyvinyl acetal resins, unsaturated polyester resins, vinyl ester resins, polystyrene resins, polyether sulfone resins, polyether ether ketone resins, epoxy resins, allyl resins, polyimides, polyamide resins, etc.; inorganic polymers such as silicone resins, phosphazene resins, etc.; glass, SiO<sub>2</sub>, Si<sub>3</sub>N<sub>4</sub>, metals, etc. These material  
30 are formed into films, sheets, cylinders, prisms (trigonal or higher), etc.

Since the substrate is subjected to repeated writing and readout of signals, it is preferable that the substrate has a light transmittance of 85 % or more and a small optical anisotropy. Depending on the material used, there can be used a structure obtained by laminating a photocurable resin on a mirror-like surface of the substrate or on a substrate surface having a guiding groove pattern (pregroove) and  
35 transcribing the guiding groove pattern on the surface of the photocurable resin layer.

The film thickness of the information recording layer formed on the substrate is preferably in the range of 10 to 500 nm and particularly in the range of 10 to 200 nm. However, in the case of an optical information recording medium containing a compound which slightly shifts the absorption wavelength of the information recording layer against the laser wavelength, the interference effect of reflectance depending on  
40 the film thickness is large. Thus, it is preferable to use a film thickness region which makes the reflectance large. It is preferable to use a first interference film thickness of 30 to 80 nm wherein the reflectance is large and the absorption is small.

The optical information recording medium in the form of sheet or disc of the present invention may further contain a reflective layer, a protective layer, etc., as conventionally used. Fig. 10 is a cross-sectional  
45 view showing one example of the optical information recording medium of the present invention comprising an information recording layer or film 1, a substrate 2, a spacer 3, an air gap 4, a protective film 5, and a central hole 6.

The thus constructed optical information recording medium is exposed to a laser beam to record information by forming pits on the exposed portions by melting or sublimation. At the time of readout (or  
50 playback), the laser beam power is controlled to a predetermined weak level so as not to damage the recording layer. Thus, there takes place no thermal deformation of the pit form even if exposed to playback light 10<sup>5</sup> times or more.

As the laser beam, there can be used various laser beams of N<sub>2</sub>, He-Ne, Ar, dye, semiconductor, lasers, etc., depending on the absorption wavelength of the information recording layer.

55 For example, in the case of forming the information recording layer with a naphthalocyanine mixture of formulae (I) and (II), a semiconductor laser of 780 to 830 nm can be used for recording and readout. In the case of forming the information recording layer with an azaphthalocyanine, a phthalocyanine, a naphthalocyanine or a mixture thereof, a semiconductor laser of 670 to 710 nm can be used for recording and

readout. In these cases, the playback light can be used at a power level of 0.5 to 1.5 mW. Further, in the case of using an azaphthalocyanine, a naphthalocyanine or a mixture thereof, it is preferable to control the mixing ratio so as to make the reflectance of the information recording layer from the substrate side 20 % or more.

5 The optical information recording medium can contain in the information recording layer a compound which can shift the maximum absorption wavelength of the information recording layer against the laser wavelength used to the shorter wavelength side by 20 to 100 nm. Further the light absorption rate of the information recording layer can preferably be in the range of 1 to 20 % of the laser beam used. The recording medium can record and read out signals by the information recording layer without further  
10 forming a reflective layer. In addition, the information recording layer preferably has a thickness in the range of making the reflectance larger by applying an interference effect of light.

The optical information recording medium can be produced, for example, as follows.

One process comprises dissolving an organic dye having a pyrolysis beginning temperature of 300 °C or less and selected from derivatives of azaphthalocyanine, phthalocyanine and naphthalocyanine, and a  
15 thermal quencher having a pyrolysis beginning temperature of 350 °C or higher in an organic solvent to give a solution of 0.1 to 5 % by mass, dipping a substrate in the solution, picking up the substrate, and removing the solvent to produce the desired optical information recording medium.

Another process comprises dissolving an organic dye having a pyrolysis beginning temperature of 300 °C or less, and selected from derivatives of azaphthalocyanine, phthalocyanine and naphthalocyanine, and  
20 a thermal quencher having a pyrolysis beginning temperature of 350 °C or higher in an organic solvent to give a solution of 0.1 to 5 % by mass, flowing the solution on a substrate revolving at 1000 to 5000 r.p.m. to form a film, and removing the solvent to form a recording layer of 10 to 200 nm in thickness on the substrate to produce the desired optical information recording medium.

More concretely, two or more organic dyes for forming the information recording layer are coated on  
25 the substrate using a spin coating, spray coating or dip coating apparatus as conventionally used to form a thin film. For example, an azaphthalocyanine, a phthalocyanine or a naphthalocyanine of the formula (I) together with a thermal quencher is dissolved in an organic solvent or dispersed in an solvent, if necessary, together with a binder, followed by coating on the substrate.

As the binder, there can be used polymers such as polyimides, polyamides, polystyrenes, acrylic  
30 resins, silicone resins, epoxy resins, phenolic resins, etc.

As the organic solvent, there can be used aromatic compounds such as benzene, xylene, toluene, etc.; ketones such as methyl ethyl ketone, etc.; ethers; halogenated compounds such as chloroform, carbon tetrachloride, etc.; and saturated hydrocarbons, etc., or mixtures thereof. Among them, the use of carbon  
tetrachloride and a mixture of methylcyclohexane and toluene is preferable.

35 When an optical information recording medium comprising an azaphthalocyanine, a phthalocyanine or a naphthalocyanine of the formula (I) alone as a recording layer is exposed to a playback laser beam power of 1 mW, the number of playback readout is in the order of  $10^3$  to  $10^4$ , which value is by far poor compared with the order of  $10^6$  in the case of using inorganic recording media.

It has been found in connection with the present invention that the pyrolysis beginning temperatures of  
40 the azaphthalocyanines, phthalocyanines and naphthalocyanines of formula (I) are 300 °C or lower. On the other hand, when a semiconductor laser beam of 1 mW or more is irradiated on a recording film, the irradiated surface of the film formed with an azaphthalocyanine, a phthalocyanine, or a naphthalocyanine of the formula (I) alone becomes 300 °C or higher. Therefore, the recording layer obtained by using an azaphthalocyanine, a phthalocyanine or a naphthalocyanine of the formula (I) alone cannot resist to a laser  
45 beam of 1 mW or more (wavelength 830 nm), which seems to result in lowering the durability (readout light stability).

The present invention further provides a playback apparatus suitable for the optical information recording medium mentioned above.

The playback apparatus comprises an optical information recording medium, an optical head equipped  
50 with a laser beam generator capable of reading out information from the optical information recording medium, rotating means for rotating the optical information recording medium, a drive circuit for control the action of the optical head and the number of revolutions of the rotating means, a processor for instructing the drive circuit, input means for inputting information into the processor, and output means for outputting information from the processor; it is characterized by an optical information recording medium comprising a  
55 substrate and an information recording layer formed on the substrate, which information recording layer comprises at least one or at least two organic dyes having different chemical structures and has a continuous playback ability of  $10^5$  times or more at a playback laser power of 0.5 to 1.5 mW.

In such a playback apparatus, it is preferable that the laser beam generator emits a laser beam having

a wavelength of 640 to 720 nm or 780 to 830 nm.

The present invention still further provides a process for reading out information from an optical information recording medium, which comprises  
 irradiating a laser beam on an information recording layer of an optical information recording medium, and  
 5 reading out the information recorded on the optical information recording medium by means of the optical modulation of reflected light or transmitted light,  
 said optical information recording medium comprising a substrate and an information recording layer formed on the substrate, said information recording layer comprising at least one or at least two organic dyes having different chemical structures, and having a continuous playback ability of  $10^5$  times or more at  
 10 a playback laser power of 0.5 to 1.5 mW.

The present invention is illustrated by way of the following Examples, in which all percent values and ratios of products are by mass unless otherwise specified.

#### 15 Example 1

On a polycarbonate substrate of 1.2 mm thickness, a 0.5 % solution obtained by dissolving bis-(tributylsiloxy)-germanium-tetra-(octyloxycarbonyl)-naphthalocyanine and copper tetra-t-butyl-naphthalocyanine in a ratio of 1:0.8 in carbon tetrachloride was spin coated to form a recording layer of 45 nm  
 20 thickness. The reflectance of the recording layer of the resulting recording medium from the substrate side at a wavelength of 830 nm was 28 %.

Further, on the recording medium, output light of a laser diode of 830 nm in wavelength was focused in a spot diameter of 1.2  $\mu$ m, and a signal of a recording frequency of 1 MHz was written from the substrate side at a linear speed of 8.0 m/s and an output power of 9 mW. Then, readout was conducted with playback  
 25 light of 1.0 mW to give a playback carrier-to-noise (C/N) ratio of 46 dB.

The number of readouts by irradiating playback light of 1.0 mW continuously is shown in Fig. 1.

#### Example 2

30 On a polycarbonate substrate of 1.2 mm thickness, a 0.5 % solution obtained by dissolving bis-(triethylsiloxy)-germanium-tetra-(octyloxycarbonyl)-naphthalocyanine and copper tetra-t-butyl-naphthalocyanine in a ratio of 1:0.75 in carbon tetrachloride was spin coated to form a recording layer of 43 nm thickness. The reflectance of the recording layer of the resulting recording medium from the substrate side  
 35 was 32 %.

Further, on the recording medium, output light of a laser diode of 830 nm in wavelength was focused in a spot diameter of 1.2  $\mu$ m, and a signal of a recording frequency of 1 MHz was written from the substrate side at a linear speed of 8.0 m/s and an output power of 9 mW. Then, readout was conducted with playback  
 40 light of 1.0 mW to give a playback C/N ratio of 50 dB.

The number of readouts by irradiating playback light of 1.0 mW continuously is shown in Fig. 2.

#### Comparative Example 1

45 On a polycarbonate substrate of 1.2 mm thickness, a 1.0 % solution obtained by dissolving bis-(tributylsiloxy)-germanium-tetra-(octyloxycarbonyl)-naphthalocyanine in carbon tetrachloride was spin coated to form a recording layer of 53 nm. The reflectance of the recording layer of the resulting recording medium from the substrate side at a wavelength of 80 nm was 38 %.

Further on the recording medium, output light of a laser diode of 830 nm in wavelength was focused in a spot diameter of 1.2  $\mu$ m, and a signal of a recording frequency of 1 MHz was written from the substrate side at a linear speed of 8.0 m/s and an output power of 9 mW. Then, readout was conducted with playback  
 50 light of 1.0 mW to give a playback C/N ratio of 42 dB.

The readout light stability by changing the power of playback light is shown in Fig. 3. In this case, the recording medium was deteriorated at a playback light power of 1.0 mW.

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#### Example 3

On a polycarbonate substrate of 1.2 mm thickness, a 1.0 % solution obtained by dissolving bis-(tributyloxy)-silicon-tetra-(cyclohexylthio)-naphthalocyanine and zinc tetra-t- butylnaphthalocyanine in a ratio of 1:0.8 in carbon tetrachloride was spin coated to form a recording layer of 55 nm thickness. The reflectance of the recording layer of the resulting recording medium from the substrate side at a wavelength of 830 nm was 37 %.

Further, on the recording medium, output light of laser diode of 830 nm in wavelength was focused in a spot diameter of 1.2 nm, and a signal of a recording frequency of 1 MHz was written from the substrate side at a linear speed of 8.0 m/s and an output power of 9 mW. Then, readout was conducted with playback light of 1.0 mW to give a playback C/N ratio of 49 dB.

The number of readouts by irradiating playback light of 1.0 mW continuously was  $10^5$  or more.

#### Example 4

On a polycarbonate substrate of 1.2 mm thickness, a 0.8 % solution obtained by dissolving bis-(tripropylsiloxy)-silicon-tetra-(cyclohexylthio)-naphthalocyanine and vanadyl tetra-t-butyl-naphthalocyanine in a ratio of 1:0.8 in carbon tetrachloride was spin coated to form a recording layer of 49 nm thickness. The reflectance of the recording layer of the resulting recording medium from the substrate side at a wavelength of 830 nm was 35 %.

Further, on the recording medium, output light of a laser diode of 830 nm in wavelength was focused in a spot diameter of 1.2  $\mu$ m, and a signal of a recording frequency of 1 MHz was written from the substrate side at a linear speed of 8.0 m/s and an output power of 9 mW. Then, readout was conducted with playback light of 1.0 mW to give a playback C/N ratio of 51 dB.

The number of readouts by irradiating playback light of 1.0 mW continuously was  $10^5$  or more.

#### Example 5

On a polycarbonate substrate of 1.2 mm thickness a 1.0 solution obtained by dissolving bis-(tripropylsiloxy)-silicon-tetra-(cyclohexylthio)-naphthalocyanine and zinc tetra-t-butyl-naphthalocyanine in a ratio as shown in Table 1 in carbon tetrachloride was spin coated to form a recording layer having a thickness as shown in Table 1.

The reflectance of the recording layer was also examined as described in Example 1 and is shown in Table 1.

The playback C/N ratio and the number of readouts by irradiating playback light of 1.0 mW continuously were also examined in the same manner as described in Example 4 and are shown in Table 1.

Table 1

Mixing ratio	Film thickness (nm)	Reflectance (%)	Playback C/N ratio (dB)	Number of readouts (playback light: 1 mW)
1.0:0.1	42	37.0	51	$>10^6$
1.0:0.2	43	36.0	49	$>10^6$
1.0:0.3	46	34.8	48	$>10^6$
1.0:0.4	53	33.2	46	$>10^6$
1.0:0.5	49	31.7	46	$>10^6$
1.0:0.8	54	29.4	43	$>10^6$
1.0:1.0	51	27.5	43	$>10^6$
1.0:1.2	49	24.2	46	$>10^6$
1.0:1.5	53	21.9	46	$>10^6$

As is clear from Table 1, good playback C/N ratios and readout light stability are obtained for the individual mixing ratios.

However, when the mixing ratio was more than 1:1.5, the reflectance became 20 % or less, resulting in failing to detect the playback C/N ratio and readout light stability.

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#### Example 6

On a polycarbonate substrate of 1.2 mm thickness, a 1.2 % solution obtained by dissolving bis-  
 10 (tributylsiloxy)-silicon-tetra-(n-butylthio)-naphthalocyanine and vanadium tetra-t-butyl-naphthalocyanine in a ratio of 1:0.8 in carbon tetrachloride was spin coated to form a recording layer of 52 nm thickness. The reflectance of the recording layer of the resulting recording medium from the substrate side at a wavelength of 830 nm was 33 %.

Further, on the recording medium, output light of a laser diode of 830 nm in wavelength was focused in  
 15 a spot diameter of 1.2  $\mu$ m, and a signal of a recording frequency of 1 MHz was written from the substrate side at a linear speed of 8.0 m/s and an output power of 9 mW. Then, readout was conducted with playback light of 1.0 mW to give a playback C/N ratio of 46 dB.

The number of readouts by irradiating playback light of 1.0 mW continuously was  $10^5$  or more.

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#### Example 8

On a polycarbonate substrate of 1.2 mm thickness, a 1.0 % solution obtained by dissolving bis-  
 25 (triethylsiloxy)-germanium-tetra-(t-butyl)-phthalocyanine and copper tetra-t-butyl-phthalocyanine in a ratio of 1:0.8 in carbon tetrachloride was spin coated to form a recording layer of 45 nm thickness. The reflectance of the recording layer of the resulting recording medium from the substrate side at a wavelength of 690 nm was 29 %.

Further, on the recording medium, output light of a laser diode of 690 nm in wavelength was focused in  
 30 a spot diameter of 1.2  $\mu$ m, and a signal of a recording frequency of 1 MHz was written from the substrate side at a linear speed of 8.0 m/s and an output power of 6 mW. Then, readout was conducted with playback light of 1.0 mW to give a playback C/N ratio of 44 dB.

The number of readouts by irradiating playback light of 1.0 mW continuously was  $10^5$  or more.

#### Example 9

On a polycarbonate substrate of 1.2 mm thickness, a 1.0 % solution obtained by dissolving bis-  
 40 (triethylsiloxy)-germanium-tetra-(t-butyl)-phthalocyanine and zinc tetra-t-butyl-phthalocyanine in a ratio as shown in Table 2 in carbon tetrachloride was spin coated to form a recording layer having a thickness as shown in Table 2.

The reflectance of the recording layer was also examined as described in Example 8 and is shown in Table 2.

The playback C/N ratio and the number of readouts by irradiating playback light of 1.0 mW continuously were also examined in the same manner as described in Example 8 and are shown in Table 2.

45

50

55

Table 2

Mixing ratio	Film thickness (nm)	Reflectance (%)	Playback C/N ratio (dB)	Number of readouts (playback light: 1 mW)
1.0:0.1	46	36.1	53	$>10^6$
1.0:0.2	45	35.4	51	$>10^6$
1.0:0.3	47	35.1	50	$>10^6$
1.0:0.4	49	34.7	50	$>10^6$
1.0:0.5	47	34.6	49	$>10^6$
1.0:0.8	51	31.4	46	$>10^6$
1.0:1.0	48	28.1	45	$>10^6$
1.0:1.2	47	25.2	45	$>10^6$
1.0:1.5	47	22.1	44	$>10^6$

As is clear from Table 2, good playback C/N ratios and readout light stability are obtained for the individual mixing ratios.

However, when the mixing ratio was more than 1:1.5, the reflectance became 20 % or less, resulting in failing to detect the playback C/N ratio and readout light stability.

#### Example 10

Using a recording playback apparatus as shown in Fig. 4, the readout light stability of the recording medium obtained in Example 1 was evaluated, while applying the laser output of readout light as shown in Fig. 5. As a result, the number of readouts when continuously irradiated by playback light of 1.0 mW was  $10^5$  or more.

#### Example 11

Using the same optical system as used in Example 10, the readout light stability of the recording medium obtained in Example 1 was evaluated, while applying the laser output of readout light as shown in Fig. 6. As a result, the number of readouts when continuously irradiated by playback light of 1.0 mW was  $10^5$  or more.

#### Example 12

A recording medium was produced by vacuum depositing bis(triphenylsiloxy)-silicon-phthalocyanine at a vacuum of  $6.7 \times 10^{-5}$  torr on a polycarbonate substrate of 130 mm in diameter and 1.2 mm in thickness with guiding grooves, the temperature of the substrate being not particularly controlled but allowed to stand, to give a recording layer of 80 nm thickness.

Spectroscopic properties (reflectance and transmittance) of the resulting recording layer are shown in Fig. 7. The maximum absorption was 690 nm.

Further, on the recording medium, output light of a laser diode of 780 nm in wavelength (difference from maximum absorption: 90 nm) was focused in a spot diameter of 1.2  $\mu\text{m}$ , and a signal of a recording frequency of 1 MHz was written from the substrate side at a linear speed of 8.0 m/s and an output power of 9 mW. The absorption rate at a wavelength of 780 nm was 4.1 %. After recording, readout was carried out using playback light of 1.0 mW. The playback C/N ratio was 46 dB. The number of readouts at a readout light of 1.0 mW was  $10^5$  or more. This means that the recording medium is excellent in readout light stability.

Further, when copper tetra-t-butylphthalocyanine was mixed with bis(triphenylsiloxy)-silicon-phthalocyanine, the resulting recording medium was improved in the readout light stability compared with the recording medium using bis(triphenylsiloxy)-silicon-phthalocyanine alone.

5

#### Comparative Example 2

Using the same recording medium as used in Example 12, output light of a laser diode of 830 nm in wavelength (difference from the maximum absorption: 140 nm) was focused in a spot diameter of 1.2  $\mu\text{m}$ , and a signal of a recording frequency of 1 MHz was written from the substrate side at a linear speed of 8.0 m/s and an output power of 9 mW. However, since the absorption rate at a wavelength of 830 nm was as small as 0.8 %, there was shown no recording sensitivity.

#### Example 13

A recording medium was produced by vacuum depositing bis(phenoxy)-silicon-phthalocyanine on a polycarbonate substrate of 130 nm in diameter and 1.2 mm in thickness with guiding grooves in the same manner as described in Example 12 to give a recording layer of 92 nm thickness.

Spectroscopic properties of the resulting recording layer are shown in Fig. 8. The maximum absorption was 720 nm.

Then, on the recording medium, output light of a laser diode of 780 nm in wavelength (difference from the maximum absorption: 60 nm) was focused in a spot diameter of 1.2  $\mu\text{m}$ , and a signal of a recording frequency of 1 MHz was written from the substrate side at a linear speed of 8.0 m/s and an output power of 9 mW. When readout was carried out using playback light of 1.0 mW, the playback C/N ratio was 50 dB.

The number of readouts with readout light of 1.0 mW was  $10^5$  or more. This means that the recording medium is excellent in the readout light stability.

Further, when zinc tetra-t-butylphthalocyanine was mixed with bis(phenoxy)-silicon-phthalocyanine, the resulting recording medium was improved in the readout light stability compared with the recording medium using bis(phenoxy)-silicon-phthalocyanine alone.

#### Comparative Example 3

Using the same recording medium as used in Example 12, output light of semiconductor laser of 830 nm in wavelength (difference from the maximum absorption: 110 nm) was focused in a spot diameter of 1.2  $\mu\text{m}$ , and a signal of a recording frequency of 1 MHz was written from the substrate side at a linear speed of 8.0 m/s and an output power of 9 mW. However, since the absorption rate at a wavelength of 830 nm was as small as 0.8 %, there was shown no recording sensitivity.

#### Comparative Example 4

Vanadyl-tetra-(t-butyl)-naphthalocyanine was synthesized according to the method disclosed in Zhurnal Obschei Khimii, vol. 42, p. 696 (1972).

A 1.5 % chloroform solution of this compound was prepared and coated on a glass substrate of 1.2 mm thickness of spin coating to form a recording layer of 78 nm thickness. The spectroscopic properties of the recording layer of the resulting recording medium are shown in Fig. 9.

Recording playback properties using a semiconductor laser of 830 nm in wavelength were tried to evaluate, but the reflectance was too small to measure the focusing and tracking. Thus, the C/N ratio could not be measured.

#### Example 14

On a polycarbonate substrate of 1.2 mm thickness, a solution obtained by dissolving (bis(tributylsiloxy)-silicon-tetra-(trimethylsilyl)-naphthalocyanine and copper tetra-t-butyl-naphthalocyanine in a ratio of 1:0.8 in carbon tetrachloride was spin coated to form a recording layer of 48 nm thickness. The reflectance of the



recording layer of the resulting recording medium from the substrate side was 37 %.

Further, on the recording medium, output light of a laser diode of 830 nm in wavelength was focused in a spot diameter of 1.2  $\mu\text{m}$ , and a signal of a recording frequency of 1 MHz was written from the substrate side at a linear speed of 8.0 m/s and an output power of 9 mW. Then, readout was conducted with playback  
5 light of 1.0 mW to give a playback C/N ratio of 51 dB.

The number of readouts by irradiating playback light of 1.0 mW was  $10^5$  or more.

As mentioned above, the optical information recording medium of the present invention can be advantageously produced by spin coating or dip coating e.g. a mixture of (i) an azaphthalocyanine, phthalocyanine, or naphthalocyanine derivative and (ii) a thermal quencher on a substrate.

10 Further, the obtained thin film of recording layer shows excellent readout light stability of  $10^5$  or more at a readout laser power of 0.5 to 1.5 mW. Therefore, the optical information recording medium of the present invention can suitably be used as a write-once optical recording medium.

## 15 Claims

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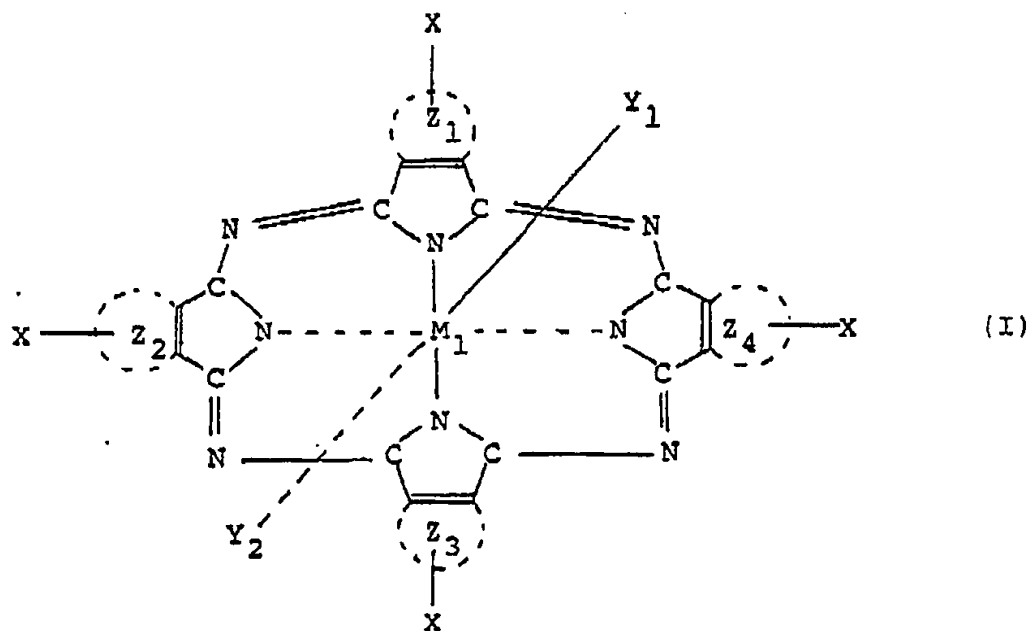
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Claims

- 5 1. Optical information recording medium comprising a  
substrate and an information recording layer formed on  
the substrate, the information recording layer comprising  
10 one or more organic dyes and having a continuous playback  
or readout ability of  $10^5$  times or more at a playback  
laser power of 0.5 to 1.5 mW.  
15
2. Optical information recording medium according to claim  
1, characterized in that it comprises at least two or-  
20 ganic dyes having different chemical structures.
- 25 3. Optical information recording medium according to claim 1  
or 2, wherein the organic dye or at least one of the  
organic dyes having different chemical structure is an  
30 organic dye having a pyrolysis beginning temperature of  
300 °C or less and is selected from derivatives of aza-  
phthalocyanine, phthalocyanine and naphthalocyanine, and  
35 another of the organic dyes is a thermal quencher having  
a pyrolysis beginning temperature of 350 °C or higher and  
a function for preventing thermal deterioration.  
40
4. Optical information recording medium according to one of  
45 claims 1 to 3, characterized in that the information  
recording layer comprises (1) at least one organic dye of  
the formula I  
50
- 55

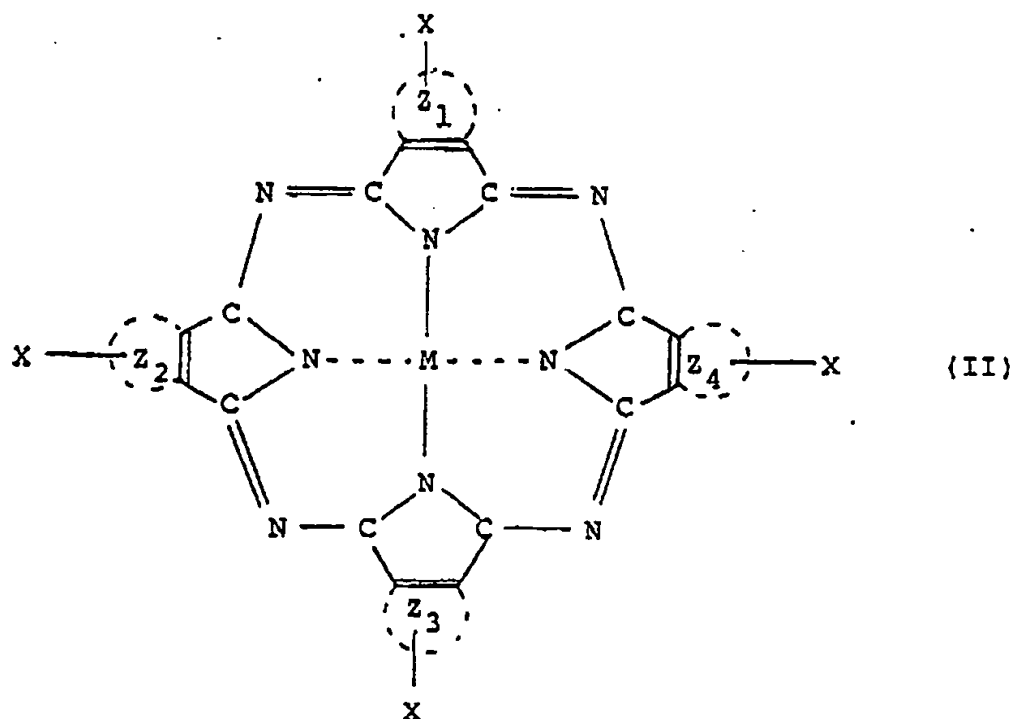


wherein  $M_1$  is a metal atom selected from Si, Ge and Sn belonging to the group IV of the periodic table;  $Z_1$ ,  $Z_2$ ,  $Z_3$  and  $Z_4$  are independently a pyridine ring, a benzene ring or a naphthalene ring non-substituted or having one or more monovalent substituents  $-X$ , where  $X$  is an alkyl group, an alkenyl group, an alkylthio group, a phenyl group, an acyl group or a tri-substituted silyl group;  $Y_1$  and  $Y_2$  are independently  $-AR$ ,  $-OR$ ,  $-OAr$ ,  $-OSi(R)_3$ ,  $-OSi(OR)_3$ ,  $-OSi(OAr)_3$  or  $-OC(C_6H_5)_3$ , wherein  $R$  is a straight- or branched chain alkyl group, and  $Ar$  is a phenyl group, a substituted phenyl group, a benzyl group or a substituted benzyl group.

5. Optical information recording medium according to claim 3 or 4, characterized in that the content of the azaphthalocyanine derivatives, phthalocyanine derivatives and/or naphthalocyanine derivatives is sufficiently high

for making the reflectance of the information recording layer 20 % or more.

6. Optical information recording medium according to one of claims 3 to 5, characterized in that the thermal quencher is a substance which can relax a molecular excited state of the azaphthalocyanine derivatives, phthalocyanine derivatives and/or naphthalocyanine derivatives.
7. Optical information recording medium according to one of claims 3 to 6, characterized in that the thermal quencher is a crystalline dye.
8. Optical information recording medium according to one of claims 3 to 7, characterized in that it comprises as the thermal quencher (ii) at least one organic dye of the formula II



wherein M is a transition metal; and  $Z_1$ ,  $Z_2$ ,  $Z_3$ ,  $Z_4$  and X are as defined in claim 4.

5

9. Optical information recording medium according to one of claims 1 to 8, characterized in that the information recording layer contains a compound which can shift the maximum absorption wavelength of the information recording layer against the laser wavelength used to the shorter wavelength side by 20 to 100 nm.

10

10. Optical information recording medium according to one of claims 1 to 9, characterized in that the light absorption rate of the information recording layer is in the range of 1 to 20 % of the laser beam used.

20

11. Optical information recording medium according to one of claims 1 to 10, characterized in that signals can be recorded and read out by means of the information recording layer without further forming a reflective layer.

30

12. Optical information recording medium according to one of claims 1 to 11, characterized in that the information recording layer has a thickness within a range wherein the reflectance is made larger by light interference.

40

13. Optical information recording medium according to one of claims 3 to 12, characterized in that the organic dye having a pyrolysis beginning temperature of 300 °C or less and the thermal quencher are used in a mass ratio

50

55

of the former to the latter of 1/0.01 to 1/1.5.

5 14. Optical information recording medium according to one of  
claims 3 to 13, characterized in that the organic dye of  
formula I and the organic dye of formula II are com-  
10 prised in a mass ratio of the former to the latter of  
1/0.01 to 1/1.5.

15 15. A method for producing the optical information recording  
medium according to one of claims 1 to 14, comprising  
the steps of

20 (A) dissolving one or more organic dyes in an organic  
solvent, preferably to give a solution of 0.1 to 5  
mass-%,

25 (B) coating a substrate with the solution, preferably by  
spin coating the substrate, particularly rotating at  
a rotational speed of 1000 to 5000 min<sup>-1</sup>,

30 or by dipping the substrate in the solution and  
picking up the substrate therefrom,

35 or by spray coating,  
and

(C) removing the solvent.

40 16. The method according to claim 15, characterized in that,  
in step A, one or more dyes having a pyrolysis beginning  
45 temperature of 300 °C or less, and selected from deriva-  
tives of azaphthalocyanine, phthalocyanine and/or naph-  
thalocyanine, and at least one thermal quencher having a  
50 pyrolysis beginning temperature of 350 °C or higher are  
used.

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17. An information playback or readout apparatus comprising  
an optical information recording medium, an optical head  
equipped with a laser beam generator capable of reading  
out information from the optical information recording  
medium, rotating means for rotating the optical informa-  
tion recording medium, a drive circuit for controlling  
the operation of the optical head and the rotational  
speed of the rotating means, a processor for controlling  
the drive circuit, input means for inputting information  
into the processor, and output means for outputting  
information from the processor, characterized by an  
optical information recording medium according to one of  
claims 1 to 14.
18. The apparatus according to claim 17, characterized by a  
laser beam generator emitting a laser beam having a  
wavelength of 640 to 720 nm or of 780 to 830 nm.
19. A method for reading out information from an optical  
recording medium, comprising a substrate and an informa-  
tion recording layer formed on the substrate, which  
comprises  
irradiating a laser beam on the information recording  
layer of the optical information recording medium, and  
reading out the information recorded on or in the  
optical information recording medium by means of the  
optical modulation of reflected or transmitted light,  
characterized in that an optical information recording  
medium according to one of claims 1 to 14 is used.

FIG. 1

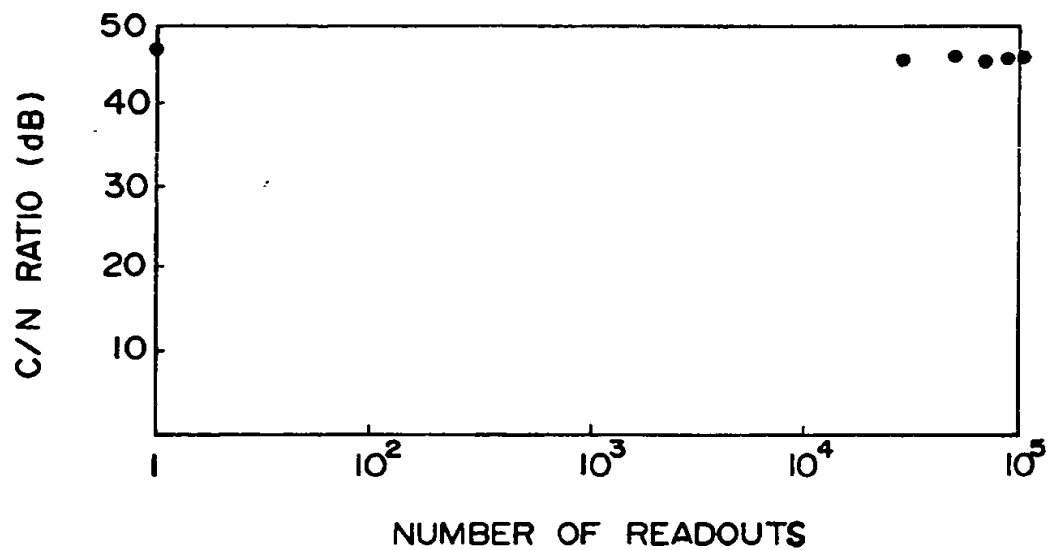


FIG. 2

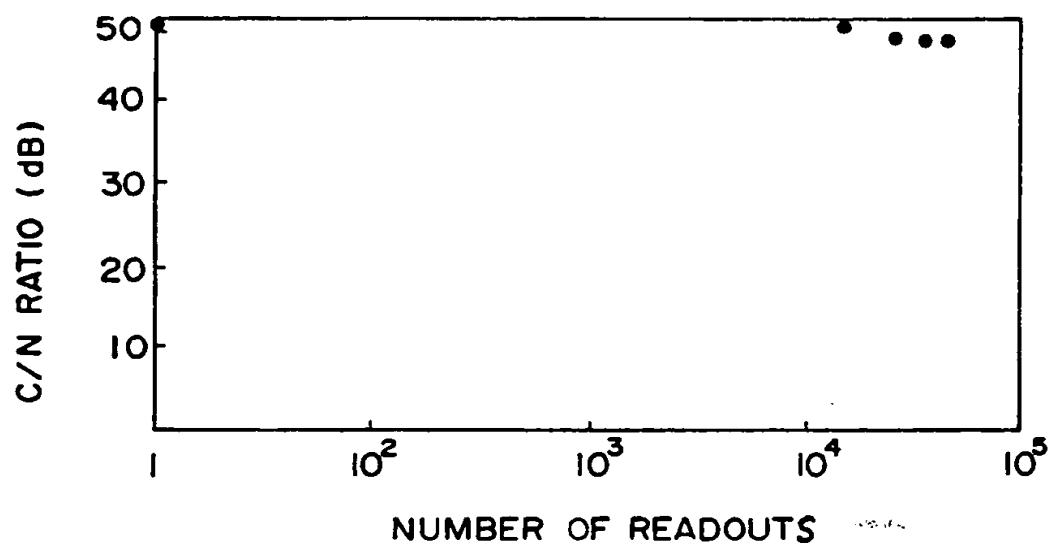




FIG. 3

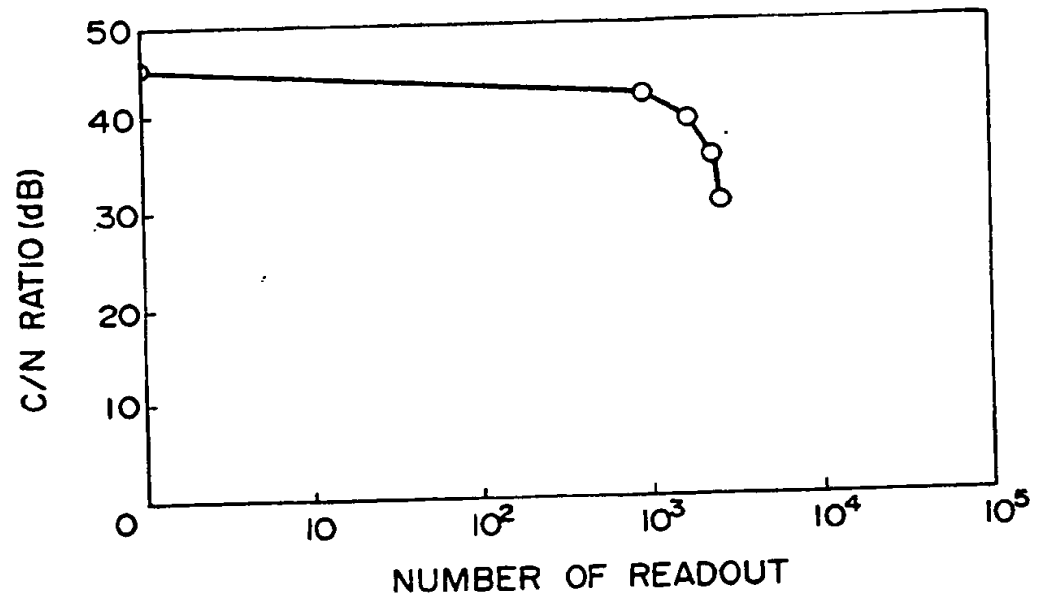


FIG. 5

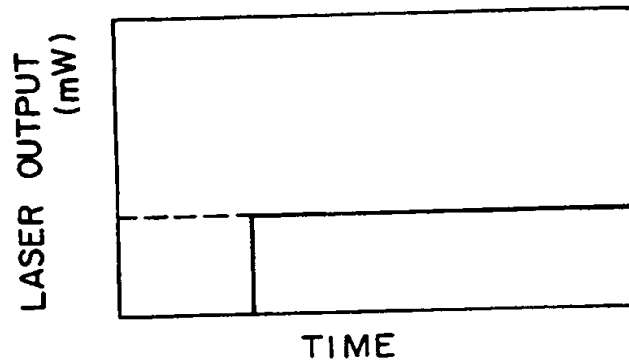


FIG. 6

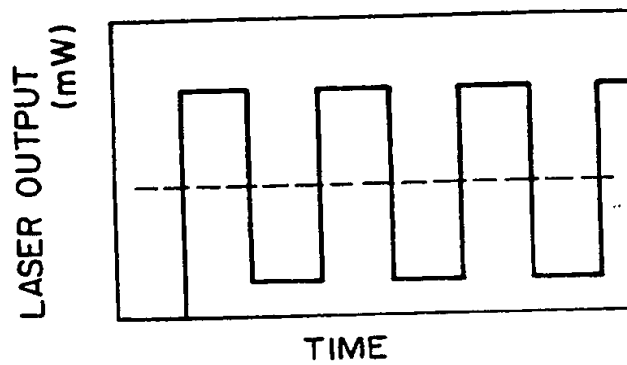


FIG. 4

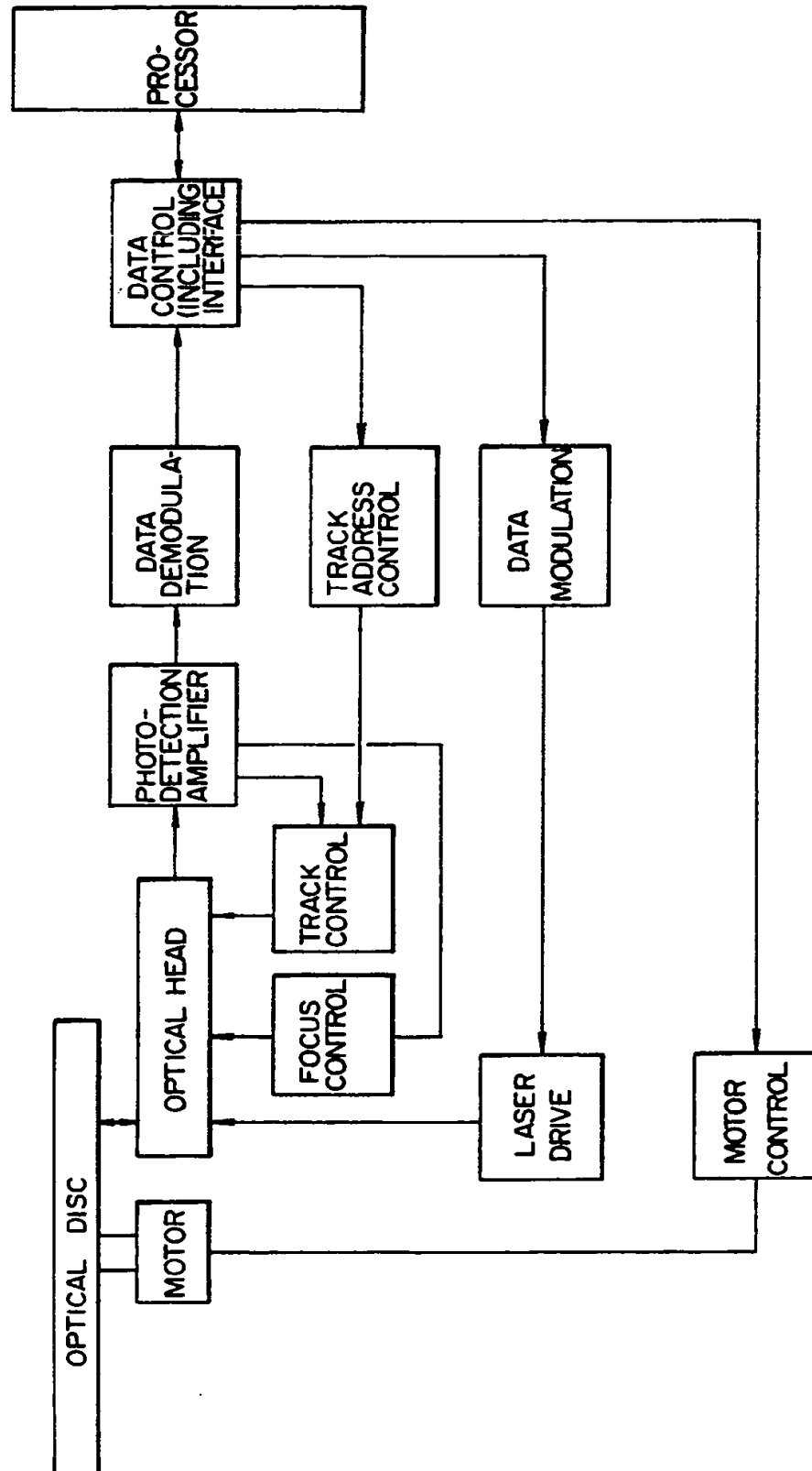


FIG. 7

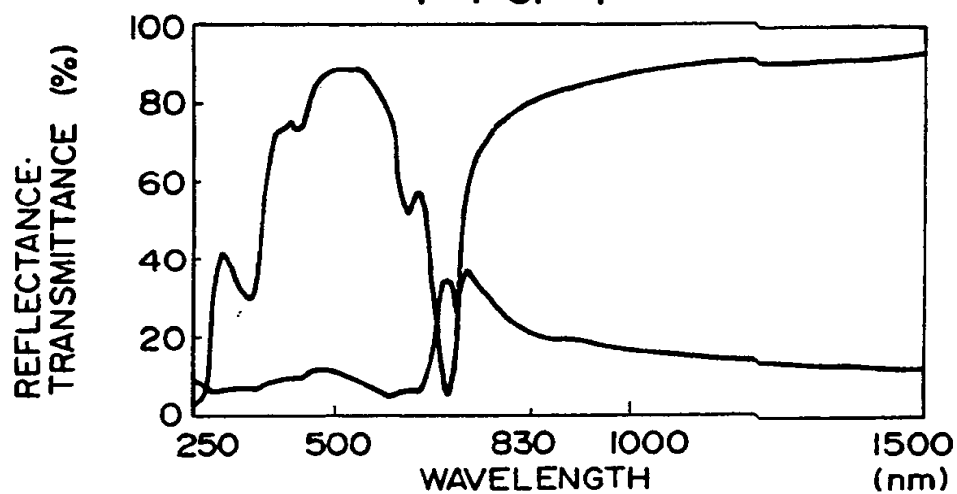


FIG. 8

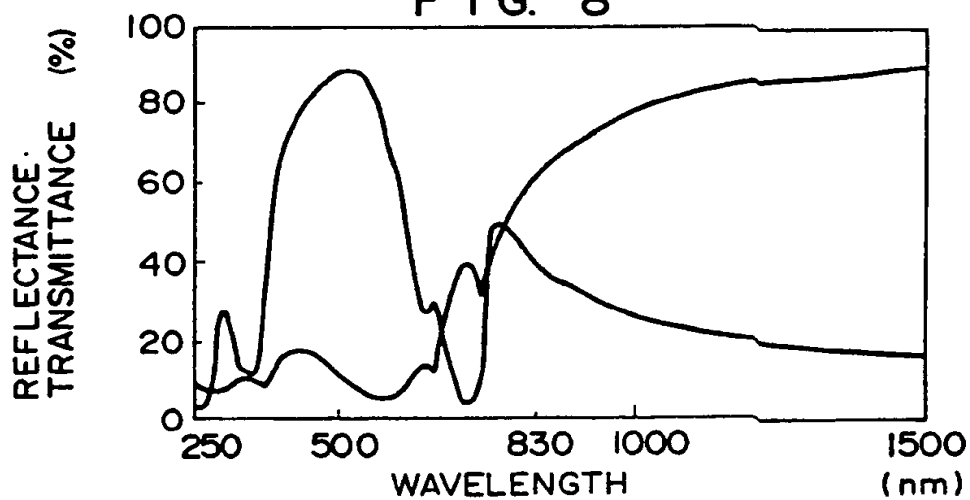
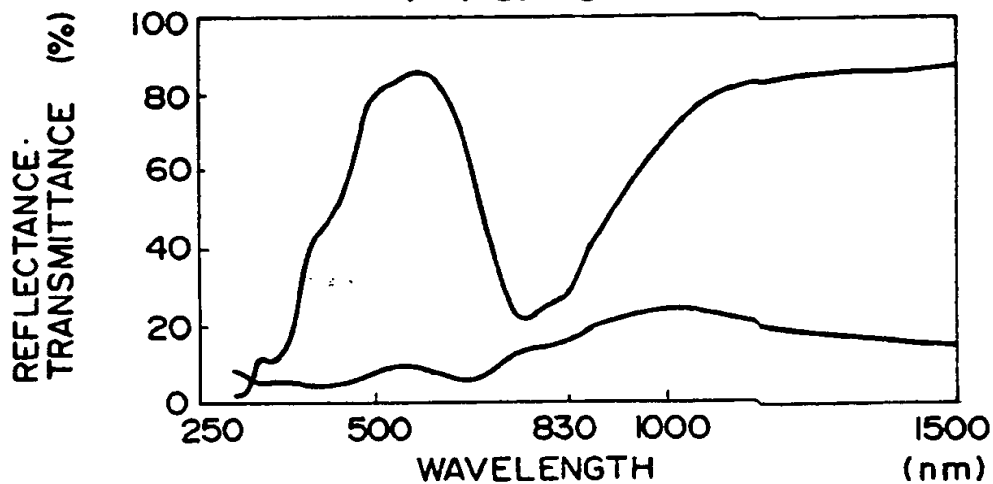
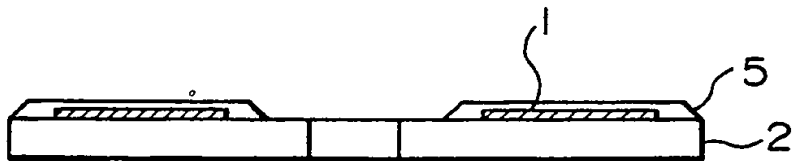
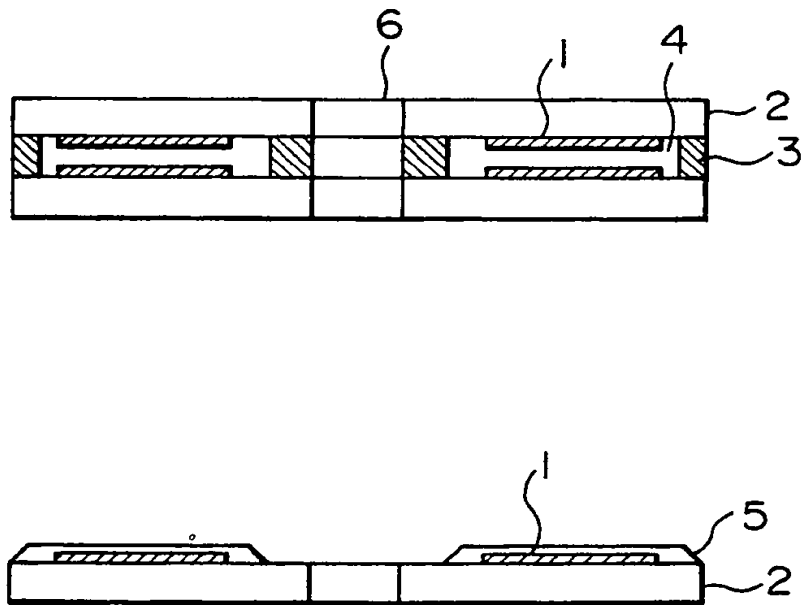


FIG. 9



F I G. 10



(19)



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(71) Applicant: **HITACHI, LTD.**  
**6, Kanda Surugadai 4-chome**  
**Chiyoda-ku, Tokyo 101(JP)**

(72) Inventor: **Kobayashi, Setsuo**  
**20-1, Mizukicho-2-chome**  
**Hitachi-shi(JP)**  
Inventor: **Iwasaki, Kishiro**  
**1288, Kamezakucho**  
**Hitachiota-shi(JP)**  
Inventor: **Nakamura, Mariko**  
**195, Todehoncho-2-chome**  
**Saiwai-ku, Kawasaki-shi(JP)**  
Inventor: **Sasaki, Hiroshi**  
**1860-21, Toyooka, Tokaimura**  
**Naka-gun, Ibaraki-ken(JP)**  
Inventor: **Ito, Yutaka**  
**16, Yamatocho-1-chome**  
**Takahagi-shi(JP)**

(74) Representative: **Patentanwälte Beetz sen. -**  
**Beetz jun. Timpe - Siegfried -**  
**Schmitt-Fumian- Mayr**  
**Steinsdorfstrasse 10**  
**W-8000 München 22(DE)**

(54) **Optical information recording medium, method and apparatus.**

(57) The invention relates to an optical information recording medium comprising a substrate and an information recording layer formed thereon comprising at least two organic dyes having different chemical structures and having a continuous playback ability of 10<sup>3</sup> times or more at a playback laser power of 0.5 to 1.5 mW, which is excellent in readout light stability (durability) and reflectance, a method for producing the optical information recording medium, and a method and an apparatus for information playback, comprising this recording medium.

**EP 0 418 611 A3**



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## EUROPEAN SEARCH REPORT

Application Number

EP 90 11 6649

DOCUMENTS CONSIDERED TO BE RELEVANT					
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.5)		
X	EP-A-0 279 501 (HITACHI CHEM. CO. LTD.) "claims 1-12"	1,3,4	G 11 B 7/24		
A	EP-A-0 285 965 (BASF A.G.) "claims 1-4"	1-19			
A	EP-A-0 325 990 (HOECHST A.G.) "claims 1-12"	1-19			
A	PATENT ABSTRACTS OF JAPAN vol. 10, no. 7 (P-419)(2064) 11 January 1986, & JP-A-60 163243 (TDK K. K.) 26 August 1985, "the whole document"	1-19			
			TECHNICAL FIELDS SEARCHED (Int. Cl.5)		
			G 11 B		
The present search report has been drawn up for all claims					
Place of search The Hague		Date of completion of search 09 April 91	Examiner BATTISTIG M.L.A.		
<table><tr><td><b>CATEGORY OF CITED DOCUMENTS</b> X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention</td><td><b>Legend</b> E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons &amp; : member of the same patent family, corresponding document</td></tr></table>				<b>CATEGORY OF CITED DOCUMENTS</b> X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention	<b>Legend</b> E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document
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